

1	NA		31-07-1975				1	0	0	0		
2	NA		29-10-1999				1	0	1	0		
3	NA		16-01-2001				1	0	1	0		
4	Yes	29-09-2022	29-07-2006				1	0	1	0		
5	Yes	28-09-2019	29-09-2014	28-09-2019		114	1	1	0	2		
6	Yes	28-09-2019	29-09-2014	28-09-2019		114	2	2	2	0		
7	Yes	28-09-2019	12-05-2017	28-09-2019		83	1	1	1	0		
8	NA		09-08-2019	09-08-2019		56	1	1	1	0		
9	NA		30-09-2023	30-09-2023		6	1	1	0	0		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01068196	RAMESH CHANDRA VAISH	Non-Executive - Independent Director	Chairperson	06-08-2011		
2	00334345	ASHA GUPTA	Non-Executive - Non Independent Director	Member	03-06-2004		
3	02798529	BASANT SETH	Non-Executive - Independent Director	Member	19-08-2017		
4	00679165	ANAND BORDIA	Non-Executive - Independent Director	Member	28-01-2010		
5	06604954	AKHIL JOSHI	Non-Executive - Independent Director	Member	14-11-2022		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00334405	HARISH CHANDRA GUPTA	Executive Director	Member	12-05-2017		
2	00679165	ANAND BORDIA	Non-Executive - Independent Director	Chairperson	28-01-2010		
3	02798529	BASANT SETH	Non-Executive - Independent Director	Member	18-09-2017		
4	06604954	AKHIL JOSHI	Non-Executive - Independent Director	Member	13-11-2021		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01068196	RAMESH CHANDRA VAISH	Non-Executive - Independent Director	Chairperson	28-01-2010		
2	00334160	ANURAG GUPTA	Executive Director	Member	29-06-2002		
3	00334233	ARVIND VEER GUPTA	Executive Director	Member	12-05-2017		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06604954	AKHIL JOSHI	Non-Executive - Independent Director	Chairperson	23-05-2023		
2	00334160	ANURAG GUPTA	Executive Director	Member	23-05-2023		
3	00334233	ARVIND VEER GUPTA	Executive Director	Member	23-05-2023		

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00334160	ANURAG GUPTA	Executive Director	Member	26-05-2014		
2	00334233	ARVIND VEER GUPTA	Executive Director	Member	26-05-2014		
3	06604954	AKHIL JOSHI	Non-Executive - Independent Director	Chairperson	10-02-2020		

Other Committee							
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks	

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	10-11-2023				Yes	9	9	5
2		14-02-2024	95		Yes	9	9	5

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/ No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	10-11-2023				Yes	5	5	4	0
2	Audit Committee	14-02-2024	95			Yes	5	5	4	0
3	Stakeholders Relationship Committee	10-11-2023				Yes	3	3	1	0
4	Stakeholders Relationship Committee	14-02-2024	95			Yes	3	3	1	0
5	Corporate Social Responsibility Committee	10-11-2023				Yes	3	3	1	0
6	Corporate Social Responsibility Committee	10-02-2024	91			Yes	3	3	1	0

Annexure 1										
IV. Meeting of Committees										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/ No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Corporate Social Responsibility Committee	29-03-2024	47			Yes	3	3	1	0
8	Risk Management Committee	28-12-2023				Yes	3	2	1	0
9	Nomination and remuneration committee	31-03-2024	93			Yes	4	4	3	0

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	

3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
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Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	ASHWANI K VERMA
2	Designation	Company Secretary and Compliance Officer

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/ NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		https://www.rotopumps.com/pd-pumps/
2	Terms and conditions of appointment of independent directors	Yes		https://www.rotopumps.com/investors/policies/
3	Composition of various committees of board of directors	Yes		https://www.rotopumps.com/committees-of-the-board/
4	Code of conduct of board of directors and senior management personnel	Yes		https://www.rotopumps.com/investors/policies/
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		https://www.rotopumps.com/investors/policies/
6	Criteria of making payments to non-executive directors	Yes		https://www.rotopumps.com/investors/policies/
7	Policy on dealing with related party transactions	Yes		https://www.rotopumps.com/investors/policies/
8	Policy for determining 'material' subsidiaries	Yes		https://www.rotopumps.com/investors/policies/
9	Details of familiarization programmes imparted to independent directors	Yes		https://www.rotopumps.com/investors/policies/
10	Email address for grievance redressal and other relevant details	Yes		https://www.rotopumps.com/investor-relations/
11	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://www.rotopumps.com/investor-relations/
12	Financial results	Yes		https://www.rotopumps.com/investors/financial-calender/
13	Shareholding pattern	Yes		https://www.rotopumps.com/shareholding-patterns/
14	Details of agreements entered into with the media companies and/or their associates	NA		

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/ NA)	If status is "No" details of non-compliance may be given here.	Web address
15.1	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA		
15.2	Audio or video recordings and transcripts of post earnings/quarterly calls	NA		
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		https://www.rotopumps.com/corporate-announcements/

18	Credit rating or revision in credit rating obtained	Yes		https://www.rotopumps.com/corporate-announcements/
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		https://www.rotopumps.com/investors/annual-accounts-of-subsidiary-companies/
20	Secretarial Compliance Report	Yes		https://rotopumps.com/investors/secretarial-compliance/
21	Materiality Policy as per Regulation 30 (4)	Yes		https://www.rotopumps.com/investors/policies/
22	Disclosure of contact details of KMP who are authorized for the purpose of determining materiality as required under regulation 30(5)	Yes		https://www.rotopumps.com/investors/policies/
23	Disclosures under regulation 30(8)	Yes		https://rotopumps.com/corporate-announcements/
24	Statements of deviation(s) or variations(s) as specified in regulation 32	NA		
25	Dividend Distribution policy as per Regulation 43A(1)	Yes		https://www.rotopumps.com/investors/policies/
26	Annual return as provided under section 92 of the Companies Act, 2013	Yes		https://rotopumps.com/investors/annual-returns/
27	Confirmation that the above disclosures are in a separate section as specified in regulation 46(2)	Yes		https://www.rotopumps.com
28	Compliance with regulation 46(3) with respect to accuracy of disclosures on the website and timely updating	Yes		https://rotopumps.com/

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1C), 17(1D) & 17(1E)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Role of Audit Committee and information to be reviewed by the audit committee	18(3)	Yes	
18	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
19	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
20	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Role of Nomination and Remuneration Committee	19(4)	Yes	
22	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
23	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
24	Role of Stakeholders Relationship Committee	20(4)	Yes	
25	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
26	Meeting of Risk Management Committee	21(3A)	Yes	

27	Quorum of Risk Management Committee meeting	21(3B)	Yes	
28	Gap between the meetings of the Risk Management Committee	21(3C)	NA	
29	Vigil Mechanism	22	Yes	
30	Policy for related party Transaction	23(1), (1A), (5), (6), & (8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
32	Approval for material related party transactions	23(4)	Yes	
33	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
34	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
35	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4), (5) & (6)	Yes	
36	Alternate Director to Independent Director	25(1)	NA	
37	Maximum Tenure	25(2)	Yes	
38	Appointment, Re-appointment or removal of an Independent Director through special resolution or the alternate mechanism	25(2A)	NA	
39	Meeting of independent directors	25(3) & (4)	Yes	
40	Familiarization of independent directors	25(7)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
41	Declaration from Independent Director	25(8) & (9)	Yes	
42	Directors and Officers insurance	25(10)	Yes	
43	Confirmation with respect to appointment of Independent Directors who resigned from the listed entity	25(11)	NA	
44	Memberships in Committees	26(1)	Yes	
45	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
46	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
47	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
48	Approval of the Board and shareholders for compensation or profit sharing in connection with dealings in the securities of the listed entity	26(6)	NA	
49	Vacancies in respect Key Managerial Personnel	26A(1) & 26A(2)	NA	
	Any other information to be provided - Add Notes			

Annexure II		
1	Name of signatory	ASHWANI K VERMA
2	Designation	Company Secretary and Compliance Officer

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/ No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

Annexure II		
1	Name of signatory	ASHWANI K VERMA
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure		
	Applicability of disclosure	Not Applicable
	Reason for Non Applicability	Textual Information(1)

Text Block

Textual Information(1)	No Loan or any form of advance or Guarantee or Comfort letter or any other security was provided by the Company to any party other than its Subsidiary whose accounts are consolidated with the Company.
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Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Signatory Details	
Name of signatory	ASHWANI K VERMA
Designation of person	Company Secretary and Compliance Officer
Place	Noida
Date	09-04-2024