

**FORMAT OF QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER CLAUSE 49 OF THE LISTING AGREEMENT**

**Name of the Company:** ROTO PUMPS LTD

**Quarter ending on:** 30.06.2014

PARTICULARS	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
<b>I Board of Directors</b>	49 I		
(A) Composition of Board	49(IA)	Yes	Refer Annexure 'A'
(B) Non-executive Directors' Compensation & Disclosures	49 (IB)	Yes	
(C) Other Provisions as to Board and Committees	49 (IC)	Yes	
D) Code of Conduct	(49 (ID)	Yes	
<b>II. Audit Committee</b>	49 (II)		
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	Refer Annexure 'B'
(B) Meeting of Audit Committee	49 (IIB)	Yes	
(C) Powers of Audit Committee	49 (IIC)	Yes	
(D) Role of Audit Committee	49 II(D)	Yes	
(E) Review of Information by Audit Committee	49 (IIE)	Yes	
<b>III. Subsidiary Companies</b>	49 (III)	Yes	
<b>IV. Disclosures</b>	49 (IV)		
(A) Basis of Related Party Transactions	49 (IV A)	Yes	
(B) Disclosure of Accounting Treatment	49 (IV B)	Yes	
(C) Board Disclosures	49 (IV C)	Yes	
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	49 (IV D)	NA	
(E) Remuneration of Directors	49 (IV E)		Shall be complied in the ensuing Annual Report
(F) Management	49 (IV F)		Shall be complied in the ensuing Annual Report
(G) Shareholders	49 (IV G)		Shall be complied in the ensuing Annual Report Refer Annexure 'C'
<b>V.CEO/CFO Certification</b>	49 (V)		
<b>VI. Report on Corporate Governance</b>	49 (VI)		Shall be complied in the ensuing Annual Report
<b>VII. Compliance</b>	49 (VII)		Shall be complied in the ensuing Annual Report

**Note:**

- 1) The details under each head shall be provided to incorporate all the information required as per the provisions of the Clause 49 of the Listing Agreement.
- 2) In the column No.3, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the Clause 49 I of the Listing Agreement, "Yes" may be indicated. Similarly, in case the company has no related party transactions, the words "N.A." may be indicated against 49 (IV A).
- 3) In the remarks column, reasons for non-compliance may be indicated. For example, in case of requirement related to circulation of information to the shareholders, which would be done only in the AGM/EGM, it might be indicated in the "Remarks" column as – "will be complied with at the AGM". Similarly, in respect of matters which can be complied with only where the situation arises, for example, "Report on Corporate Governance" is to be a part of Annual Report only, the words "will be complied in the next Annual Report" may be indicated.

Annexure 'A'

Composition of Board of Director

Sl	Name	Designation	Status
1	Mr. Harish Chandra Gupta	Chairman & Managing Director	Executive / Promoter Director
2	Mr. B S Ramaswamy	Director	Non-executive / Independent
3	Mr. Anurag Gupta	Dy. Managing Director	Executive / Promoter Director
4	Mr. Arvind Veer Gupta	Wholetime Director	Executive / Promoter Director
5	Mrs. Asha Gupta	Director	Non-executive / Independent
6	Dr. Ramesh Chandra Vaish	Director	Non-executive / Independent
7	Mr. Anand Bordia	Director	Non-executive / Independent
8	Mr. Vijoy Kumar	Director	Non-executive / Independent
9	Mrs. Annapurna Dixit	Additional Director	Non-executive / Independent

Annexure 'B'

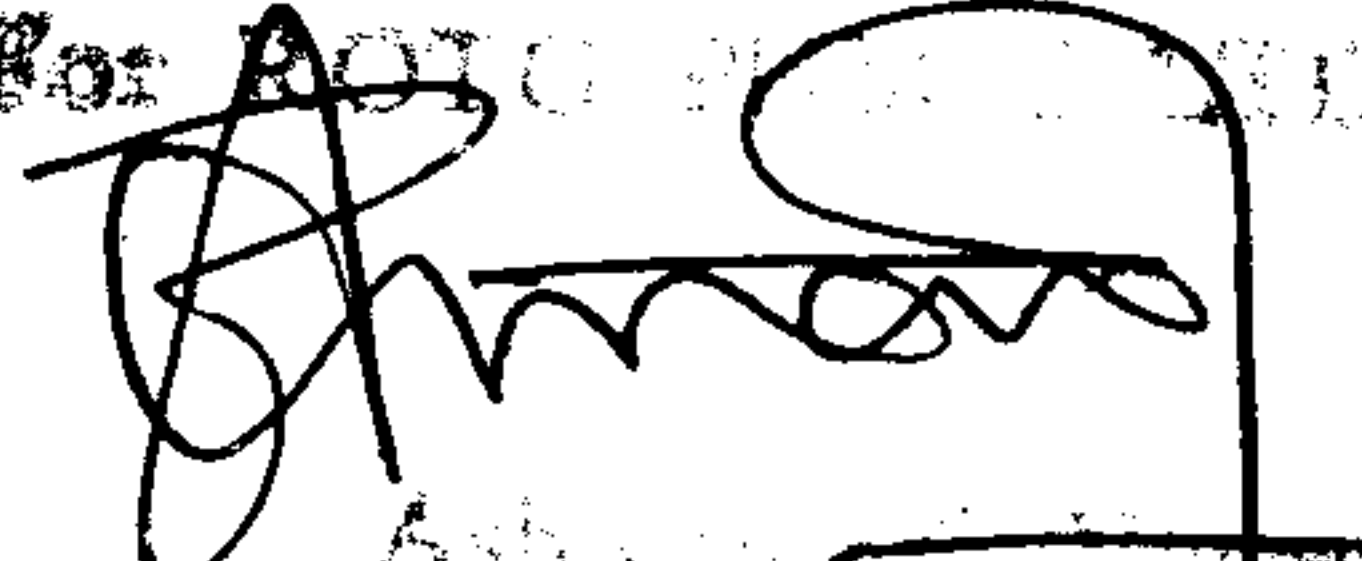
Composition of Audit Committee

Sl	Name	Position	Qualification
1	Mr. B S Ramaswamy	Chairman	B. Sc, B. Com, FICMA, IA&AS (Retd)
5	Mrs. Asha Gupta	Member	M.A
	Mr. Anand Bordia	Member	M.A & IRS (Retd)
6	Dr. Ramesh Chandra Vaish	Member	M.A., M.Com, LLB Ph. D FCA

Annexure 'C'

Composition of Shareholder / Investor Grievance Redressal Committee

Sl	Name	Position
1	Dr. Ramesh Chandra Vaish	Chairman
2	Mr. Anurag Gupta	Member
3	Mr. Vijoy Kumar	Member

For: AOTC  
  
Ashu  
Company